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PTO/SB/02A (11-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing **OR** ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 71626 US02

First Named Inventor Shelton et al.

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LOW MOLECULAR WEIGHT CELLULOSE MIXED ESTERS AND THEIR USE AS LOW VISCOSITY BINDERS AND MODIFIERS IN COATING COMPOSITIONS

(Title of Invention)

the specification of which

☒ is attached hereto
OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? Yes No
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35 USC 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional Provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/455,033	03/14/2003	

[Page 1 of 6]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Department of Commerce P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 USC 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Michael J. Blake	37,096	Eric D. Middlemas	53,325
Betty J. Boshears	33,864	Polly C. Owen	44,991
Dennis V. Carmen	35,007	Steven A. Owen	50,355
Michael K. Carrier	42,391	Jonathan D. Wood	39,076
Bernard J. Graves, Jr.	33,239		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet attached hereto

Direct all correspondence to:

Name	Michael K. Carrier		
Address	Eastman Chemical Company		
Address	P.O. Box 511		
City	Kingsport	State	Tennessee
ZIP	37662		
Country	USA	Telephone	(423) 229-4016
Fax	(423) 229-1239		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname
Michael Charles	Shelton

Inventor's Signature



Date 03/01/04

Residence: City	State	Country	Citizenship
Kingsport	Tennessee	U.S.A.	U.S.A.

Mailing Address

261 Ascot Drive

City	State	ZIP	Country
Kingsport	Tennessee	37663	U.S.A.

☒ Additional inventors are being named on the 4 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus (+) sign in this box → ☐

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
--------------------	-----------------------------------------------------

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jessica Dee		Posey-Dowty	
Inventor's Signature <u><i>Jessie Dee Posey-Dowty</i></u> Date <u>3-1-2004</u>			
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
Mailing Address 521 Hidden Valley Road			
City Kingsport	State Tennessee	ZIP 37663	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Luis Guillermo Rios		Perdomo	
Inventor's Signature <u><i>Luis Guillermo Rios Perdomo</i></u> Date <u>3/1/2004</u>			
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship El Salvador
Mailing Address 1069 Amersham Road			
City Kingsport	State Tennessee	ZIP 37660	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Daniel Wayne		Dixon, Jr.	
Inventor's Signature <u><i>Daniel Wayne Dixon Jr.</i></u> Date <u>3-1-04</u>			
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
Mailing Address 216 Gale Avenue			
City Kingsport	State Tennessee	ZIP 37660	Country U.S.A.

[Page 3 of 6]

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
--------------------	------------------------------------------------------

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Paul Lee		Lucas	
Inventor's Signature <u>Paul Lee Lucas</u> Date <u>March 1, 2004</u>			
Residence: City	State	Country	Citizenship
Gray	Tennessee	U.S.A.	U.S.A.
Mailing Address			
240 Springview Drive			
City	State	ZIP	Country
Gray	Tennessee	37615	U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Alan Kent		Wilson	
Inventor's Signature <u>Alan Kent Wilson</u> Date <u>3/01/04</u>			
Residence: City	State	Country	Citizenship
Kingsport	Tennessee	U.S.A.	U.S.A.
Mailing Address			
518 Summerville Road			
City	State	ZIP	Country
Kingsport	Tennessee	37663	U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Kenneth Raymond		Walker	
Inventor's Signature _____ Date _____			
Residence: City	State	Country	Citizenship
Perthshire		United Kingdom	United Kingdom
Mailing Address			
31 Ochloch Park			
City	State	ZIP	Country
Perthshire		FK 15 ODU	United Kingdom

[Page 4 of 6]

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jonathan Edward		Lawniczak	
Inventor's Signature 		Date 3/1/2004	
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
Mailing Address 2021 Springfield Avenue			
City Kingsport	State Tennessee	ZIP 37664	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Roy Glenn		Fouk	
Inventor's Signature 		Date 3/1/04	
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
Mailing Address 4301 Spenlow Court			
City Kingsport	State Tennessee	ZIP 37663	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Hieu Duy		Phan	
Inventor's Signature 		Date Feb. 26, 2004	
Residence: City Antioch	State Illinois	Country U.S.A.	Citizenship U.S.A.
Mailing Address 1435 Heron Drive			
City Antioch	State Illinois	ZIP 60002	Country U.S.A.

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PTO/SB/02A (11-00)

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
--------------------	-----------------------------------------------------

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Charlie Carroll		Freeman, Jr.	
Inventor's Signature <u>Charlie Carroll Freeman Jr</u> Date <u>March 3 2004</u>			
Residence: City	State	Country	Citizenship
Rogersville	Tennessee	U.S.A.	U.S.A.
Mailing Address			
122 Freeman Road			
City	State	ZIP	Country
Rogersville	Tennessee	37857	U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature _____ Date _____			
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature _____ Date _____			
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	ZIP	Country

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	71626 US02
	First Named Inventor	Shelton et al.
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Dennis V. Carmen	35,007	Steven A. Owen	50,355
Michael K. Carrier	42,391	Jonathan D. Wood	39,076
Bernard J. Graves, Jr.	33,239		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet attached hereto

Direct all correspondence to:

Name	Michael K. Carrier						
Address	Eastman Chemical Company						
Address	P.O. Box 511						
City	Kingsport			State	Tennessee		ZIP 37662
Country	USA		Telephone	(423) 229-4016		Fax	(423) 229-1239

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))	Family Name or Surname
Michael Charles	Shelton

Inventor's Signature

Date

Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
------------------------------	--------------------	-------------------	-----------------------

Mailing Address

261 Ascot Drive

City Kingsport	State Tennessee	ZIP 37663	Country U.S.A.
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☒ Additional inventors are being named on the 4 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jessica Dee		Posey-Dowty	
Inventor's Signature _____ Date _____			
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
Mailing Address 521 Hidden Valley Road			
City Kingsport	State Tennessee	ZIP 37663	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Luis Guillermo Rios		Perdomo	
Inventor's Signature _____ Date _____			
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship El Salvador
Mailing Address 1069 Amersham Road			
City Kingsport	State Tennessee	ZIP 37660	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Daniel Wayne		Dixon, Jr.	
Inventor's Signature _____ Date _____			
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
Mailing Address 216 Gale Avenue			
City Kingsport	State Tennessee	ZIP 37660	Country U.S.A.

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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Paul Lee		Lucas	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Gray	Tennessee	U.S.A.	U.S.A.
Mailing Address			
240 Springview Drive			
City	State	ZIP	Country
Gray	Tennessee	37615	U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Alan Kent		Wilson	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Kingsport	Tennessee	U.S.A.	U.S.A.
Mailing Address			
518 Summerville Road			
City	State	ZIP	Country
Kingsport	Tennessee	37663	U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Kenneth Raymond		Walker	
Inventor's Signature		Date	
Residence: City		State	Country
Perthshire		United Kingdom	United Kingdom
Mailing Address			
31 Ochloch Park			
City	State	ZIP	Country
Perthshire		FK 15 ODU	United Kingdom

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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jonathan Edward		Lawniczak	
Inventor's Signature _____ Date _____			
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
Mailing Address 2021 Springfield Avenue			
City Kingsport	State Tennessee	ZIP 37664	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Roy Glenn		Foulk	
Inventor's Signature _____ Date _____			
Residence: City Kingsport	State Tennessee	Country U.S.A.	Citizenship U.S.A.
Mailing Address 4301 Spenlow Court			
City Kingsport	State Tennessee	ZIP 37663	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Hieu Duy		Phan	
Inventor's Signature _____ Date _____			
Residence: City Antioch	State Illinois	Country U.S.A.	Citizenship U.S.A.
Mailing Address 1435 Heron Drive			
City Antioch	State Illinois	ZIP 60002	Country U.S.A.

[Page 5 of 6]

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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Charlie Carroll		Freeman, Jr.	
Inventor's Signature _____ Date _____			
Residence: City	State	Country	Citizenship
Rogersville	Tennessee	U.S.A.	U.S.A.
Mailing Address			
122 Freeman Road			
City	State	ZIP	Country
Rogersville	Tennessee	37857	U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature _____ Date _____			
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature _____ Date _____			
Residence: City	State	Country	Citizenship
Mailing Address			
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